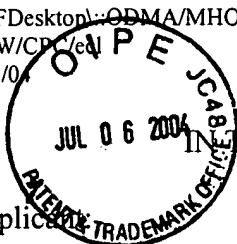


LAW



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edward L. Sinofsky

Application No.: 10/766,419

Group Art Unit: 2875

Filed: January 28, 2004

Examiner: Not assigned

Confirmation No.: 2912

Title: Method and Apparatus for Image Illumination Using Self-Contained Luminaire

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
<u>July 2, 2004</u> Date	<u>Elaine Leahy</u> Signature
<u>Elaine Leahy</u> Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)

☒ under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

☐ under 37 CFR 1.97(c) together with either:
☐ a Statement under 37 CFR 1.97(e), as checked below, or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:
☐ a Statement under 37 CFR 1.97(e), as checked below, and
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

☐ under 37 CFR 1.97(i):
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of the cited foreign and non-patent references are enclosed.
- ☐ Since this application was filed after June 30, 2003, copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
- ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's
Initials

____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

Examiner

Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

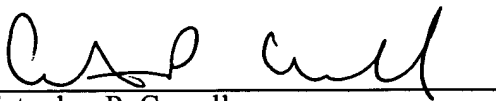
Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By



Christopher P. Carroll
Registration No.: 55,776
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

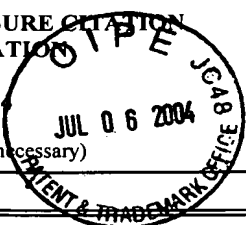
Dated: 7/2/04

PTO-1449 REPRODUCED

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

April 9, 2004

(Use several sheets if necessary)


 ATTORNEY DOCKET NO.
3565.1000-000

 APPLICATION NO.
10/766,419

 FIRST NAMED INVENTOR
Edward L. Sinofsky

 FILING DATE
January 28, 2004

 EXAMINER
not assigned

 CONFIRMATION NO.
2912

 GROUP
2875

U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	AA	5,838,406	11/17/1998	McGregor <i>et al.</i>
	AB	6,210,013	04/03/2001	Bousfield
	AC	US 2003 0034445 A1	02/20/2003	Boyd <i>et al.</i>
	AD	US 2003 0046842 A1	03/13/2003	Maas, <i>et al.</i>
	AE	US 2003 0051380 A1	03/20/2003	Butler <i>et al.</i>
	AF	US 20030067760 A1	04/10/2003	Jagt <i>et al.</i>
	AG	US 20030147256 A1	08/07/2003	Kraft
	AH	US 20030147259 A1	08/07/2003	Kraft
	AI	US 2003 0086030	05/08/2003	Taniguchi <i>et al.</i>
	AJ	6,576,887	06/10/2003	Epstein <i>et al.</i>
	AK	6,236,799 B1	05/22/2001	Huh <i>et al.</i>
	AA2			
	AB2			
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EXAMINER

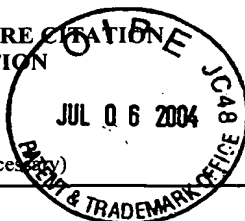
DATE CONSIDERED

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(Use several sheets if necessary)


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2875

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER Country Code-Number-Kind Code (if known)	DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION	
					YES	NO
	AL	WO 02/061334 A1	08/08/2002	Gierlowski Grzegorz		
	AM	CA2229931	03/06/1997	Gore & Assoc.		
	AN					
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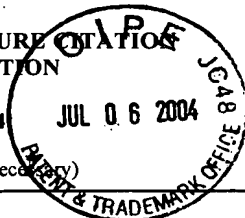
DATE CONSIDERED

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2912

 GROUP
2875

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Plexiglas EliT Acrylic Sheet, Technical Recommendations
AS	Kimoto Tech, Inc., KB Film for Electronic Displays and panels, http://www.kimototech.com/industrial.htm , 12/23/2003
AT	Vikuiti Enhanced Specular Reflector (ESR), 3M Electronic Display Lighting
AU	
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EXAMINER

DATE CONSIDERED